



# DRAFT PROGRAMME

# Regulatory responses to the global financial crisis in the EU and US: implementation and enforcement of retail financial services regulation

## Wednesday, 27 January 2016 15:00-17:45 European Parliament, Room A5E-2 (Altiero Spinelli building)

The event will examine post-crisis responses by regulatory authorities on both sides of the Atlantic to better protect consumers of financial services and discuss the way forward in the implementation and enforcement of financial services regulation.

### 14:30-15:00 Registration and coffee

15:00 – 15:05 Welcoming address: Sorin Moisă, MEP, Group of the Socialists & Democrats

**15:05 – 15:20 Key note address: Jonathan Hill,** Commissioner for Financial Stability, Financial Services and Capital Markets Union, DG FISMA, European Commission

#### 15:20 – 16:05 Panel 1: Post-crisis regulatory framework

**Chaired by Ed Mierzwinski,** Consumer Program Director, US Public Interest Research Groups and US Co-chair of TACD (Invited)

#### Speakers:

- **Philippe Pelle**, Deputy Head of Unit Retail Financial Services and Payment, DG FISMA, European Commission
- **Dirk Haubrich**, Head of the Consumer Protection, Financial Innovation and Payments Unit, European Banking Authority
- **Cathy Lesser Mansfield,** Visiting Professor of Law, Georgetown University Law Center

# 16:05 – 16:50 <u>Panel 2</u>: Assessing market surveillance authorities activities and public and private enforcement - good and bad practice

**Chaired by Sylvie Goulard,** MEP, Alliance of Liberals and Democrats for Europe Group (Invited)

#### Speakers:

• Femke de Vries, Managing Director of the Dutch Financial Supervisory Authority





- **Olivier Micol**, Head of Unit, Financial Services and Redress, DG Justice and Consumers, European Commission
- **Ira Rheingold**, Executive Director, National Association of Consumer Advocates and US co-chair of the TACD Financial Services Policy Committee

# **16:50 – 17:35** <u>**Panel 3</u></u>: The benefits of retail financial services regulation for consumers and the way forward in its implementation and enforcement.</u>**

Chaired by Christophe Nijdam, Secretary General, Finance Watch

### Speakers:

- **Guillaume Prache**, Managing Director, Better Finance, FSUG vice-chair and member of the ESMA Securities and Markets Stakeholder Group
- **Bostjan Krisper**, Head of Financial Services, Slovene Consumer Association (ZPS), member of the Financial Services User Group (FSUG) and the European Banking Authority Stakeholder Group
- **Christopher Peterson**, Professor of Law, College of Law, University of Utah
- **Salvatore Gnoni**, Team Leader, Investment and Reporting Division, Investor Protection and Intermediaries, European Securities and Markets Authority (ESMA)

**17:35 – 17:45 Closing remarks: Sorin Moisă,** MEP, Group of the Group of the Socialists & Democrats

**17:45 Cocktail reception and networking** (*Members salon, Altiero Spinelli building, ground floor*)